Mizuho Securities India Private Limited

Investors Complaints Data – Preferential Issue

Data for the month ending November 2025

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total pending during the particular month #	Pending complaints > 1 month	Average Resolution time ^\ (in days)
1	Directly from Investors	0	0	Not Applicable	0	Not Applicable	Not Applicable
2	SEBI (SCORES)	0	0	Not Applicable	0	Not Applicable	Not Applicable
3	Stock Exchanges (if relevant)	0	0	Not Applicable	0	Not Applicable	Not Applicable
4	Other Sources (if any)	0	0	Not Applicable	0	Not Applicable	Not Applicable
5	**Grand Total**	0	0	Not Applicable	0	Not Applicable	Not Applicable

Trend of monthly disposal of complaints (For 7 months on rolling basis)

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending as at the end of the particular month #
1	May 2025	0	0	Not Applicable	0
2	June 2025	0	0	Not Applicable	0
3	July 2025	0	0	Not Applicable	0
4	August 2025	0	0	Not Applicable	0
5	September 2025	0	0	Not Applicable	0
6	October 2025	0	0	Not Applicable	0
7	November 2025	0	0	Not Applicable	0
	Grand Total	0	0	Not Applicable	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending as at the end of the particular year
1	2021	0	0	Not Applicable	0
2	2022	0	0	Not Applicable	0
3	2023	0	0	Not Applicable	0
4	2024	0	0	Not Applicable	0
5	2025	To be updated in due course			
	Grand Total	0	0	Not Applicable	0